

STATEMENT UNDER 37 CFR 3.73(b)

Applicant: **David Chazan, et al.**

Application No.: **09/884,429**

Filed: **June 18, 2001**

Entitled: **METHODS AND DEVICES FOR ENHANCING BONDED SUBSTRATE
YIELDS AND REGULATING TEMPERATURE**

Caliper Technologies Corp.

, a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.

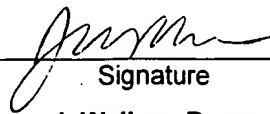
☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

10/9/01

Date



Signature

J. Wallace Parce

Typed or printed name

Vice President of Research

Title

01PE/JCWS

MAR 17 2005

RECEIVED

0260
1743
IFW



UNITED STATES
PATENT AND
TRADEMARK OFFICE

emg

JANUARY 03, 2002

LAW OFFICES OF JONATHAN ALAN QUINE
CHRISTOPHER C. SAPPENFIELD
PO BOX 458
ALAMEDA, CA 94501

PTAS

RECEIVED

JAN 10 2002

LAW OFFICES OF
JONATHAN ALAN QUINE



101885680A

Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
www.uspto.gov

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/15/2001

REEL/FRAME: 012271/0597
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHAZAN, DAVID

DOC DATE: 09/26/2001

ASSIGNOR:

BOUSSE, LUC J.

DOC DATE: 09/28/2001

ASSIGNOR:

BROOKS, CARLTON

DOC DATE: 09/25/2001

ASSIGNOR:

LOUCH, DEREK

DOC DATE: 09/07/2001

ASSIGNOR:

SPAID, MICHAEL

DOC DATE: 10/03/2001

ASSIGNEE:

CALIPER TECHNOLOGIES CORP.
605 FAIRCHILD DRIVE
MOUNTAIN VIEW, CALIFORNIA
94043-
2234

012271/0597 PAGE 2

SERIAL NUMBER: 09884429
PATENT NUMBER:

FILING DATE: 06/18/2001
ISSUE DATE:

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10-25-2001

Attorney Docket No. 01-052410US

FORM PTO-1595

(Rev. 6-93)

U.S. Department of Commerce

Patent and Trademark Office



101885680

To the Honorable Asst. Commissioner for Patents, please return the attached original documents or copy thereof

1. Name of conveying party(ies):

David Chazan
Luc J. Bousse
Carlton Brooks
Derek Louch
Michael Spaid

10/15/01

Additional name(s) of conveying parties attached? No

2. Name and address of receiving party(ies)

Name: Caliper Technologies Corp.

Internal Address:

Street Address:

605 Fairchild Drive
Mountain View, CA 94043-2234

3. Nature of conveyance:

- X Assignment Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other:

Execution Date: September 7, 2001; September 25, 2001;
September 26, 2001; September 28, 2001; October 3, 2001

Additional names and addresses attached? No

OCT 15 2001

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/884,429
Additional numbers attached? No

B. Patent No(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

Law Offices of Jonathan Alan Quine
P.O. Box 458
Alameda, CA 94501
(510) 337-7871

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed X Charge Fees to Deposit Account

X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0893

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Christopher C. Sappenfield
Name of Person Signing

Signature

10-12-01
Date

Atty Reg. No. 45,073

Total number of pages including cover sheet, attachments and document 3

10. Change Correspondence Address to that of Part 5? X Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents
Box: Assignments
Washington, D.C. 20231

Attorney Docket No.: 01-052410US
Client Reference No.: 100/09100US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, David Chazan, of 4040 Manzana Lane, Palo Alto, CA 94306, Luc J. Bousse of 1050 Rilma Lane, Los Altos, CA 94022, Carlton Brooks of 842 Coleman Avenue, Apt. #10, Menlo Park, California 94025, Derek Louch, of #304, 626 15TH Avenue, S.W. Calgary, T2R 0R5, Alberta, Canada, Michael R. Spaid of 693 Arbutus Avenue, Sunnyvale, California 94086, are hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHODS AND DEVICES FOR
ENHANCING BONDED SUBSTRATE
YIELDS AND REGULATING
TEMPERATURE

Filing Date: June 18, 2001

Application No.: 09/884,429; and

WHEREAS, Caliper Technologies Corp., a Delaware corporation, located at 605 Fairchild Drive, Mountain View, CA 94043-2234, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment
Application No.: 09/884,429
Page 2

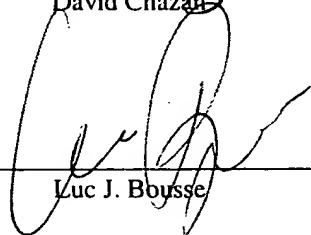
IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: Sept 26, 01



David Chazan

Dated: 9/28/01




Luc J. Bousse

Dated: 9/25/2001



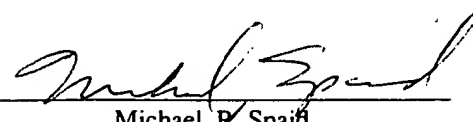
Carlton Brooks

Dated: Sept 7th, 2001



Derek Louch

Dated: 10/3/01



Michael R. Spaid
ms
10/3/01